

BHUUSHAN VISHWANATH GODBOLE

SEBI Registered Investment Advisers Registration No. INA000002405

Disclosure Document

The particulars given in this Disclosure Document have been prepared in accordance with SEBI (Investment Advisers) Regulations, 2013.

The purpose of the Document is to provide essential information about the Investment Advisory Services in a manner to assist and enable the perspective client/client in making an informed decision for engaging Investment Advisor before investing.

For the purpose of this Disclosure Document, Investment Adviser is **Mr. BHUUSHAN VISHWANATH GODBOLE** ("Investment Advisor")

A. Descriptions about "Investment Advisor"

- **History, Present business and Background**

Investment Advisor is registered with SEBI as Investment Advisor with Registration No. INA000002405. The firm got its registration on NOVEMBER 20, 2014 and is engaged in advisory services.

The focus of Investment Advisor is to provide investment advice to the clients. Advisor aligns its interests with those of the client and seeks to provide the best suited advice based on clients risk profile. Investment Advisor first tries to understand the client's return expectations, risk taking ability & goals, which in turn helps to arrive at an asset allocation suitable for the client. Investment Advisor conducts frequent portfolio reviews and suggests any corrective action/s if required.

- **Disciplinary history:**

No action has been taken against the Advisor or Firm as an Investment Advisor by any regulator.

- **Affiliations with other intermediaries**

Investment Advisor has no affiliation with any other intermediaries.

B. Disclosures with respect to receipt of any consideration by way of remuneration or compensation or in any other form whatsoever, received or receivable by Investment Advisor or any of its associates or subsidiaries for any distribution or execution services in respect of the products or securities for which the investment advice is provided to the client:

Investment Advisor does not have any distribution or execution arrangement with the issuers of the securities that Investment Advisor advises on.

C. Disclosures with respect to Investment Advisor's own holding position in financial products/securities:

No trading of recommended stocks is done within a stipulated timeline by the advisor

and all the trades done by the advisor are recorded for audit purposes.

- D. Disclosures with respect to any actual or potential conflicts of interest arising from any connection to or association with any issuer of products/ securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of investment advisory services.

There are no actual or potential conflicts of interest arising from any connection to or association with any issuer of products/ securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of investment advisory services. Such conflict of interest shall be disclosed to the client as and when they arise.

- E. Disclosure of all material facts relating to the key features of the products or securities, particularly, performance track record, warnings, disclaimer etc.

Clients are requested to go through the detailed key features, performance track record of the product, or security including warnings, disclaimers etc before investing as and when provided by the Investment Advisor. Such product materials may also be available to www.sebi.gov.in or www.nseindia.com or www.bseindia.com.

- F. Drawing client's attention to warnings, disclaimers in documents, advertising materials relating to investment products.

Investment Advisor draws the client's attention to the warnings, disclaimers in documents, advertising materials relating to an investment product/s which he is recommending to the client/s.

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Date: 01/09/2020